

carta

VENTURE COMPANIES LEARNING LAB

Corporate Governance

Upcoming Events

Join live sessions with startup experts, attend product education webinars, and connect with peers facing the same challenges as you.

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● Learning Lab

1.

Managing the Cap Table

■ PART 1 OF 4

March 18th, 2026
11:00 - 12:00 (PT)

Register →

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● Learning Lab

2.

Fundraising Tools

■ PART 2 OF 4

April 9th, 2026
12:00 - 01:00 (PT)

Register →

Continue the conversation..

Collaborate with peers and industry experts while learning how to make Carta work for you:

- Learning Courses
- Customer chats
- Register for future **Learning Labs**

carta Community

Q Search

Login

Tackle your equity, fundraising, and fund administration challenges— together.

Join Carta Community to connect with peers who use Carta like you do.

[Join the Community](#)



LIVE EVENTS

FIELD GUIDES

LEARNING PATHS

HOW TO VIDEOS

Welcome to Carta Learning

Self-paced learning resources aligned with **key company milestones**.

- Earn 50 points for each learning path course completed
- Complete all 'learning paths' and earn a Fully Vested Carta Admin badge

Start your learning path

How-to video library

Explore our field guides

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REVIEW US ON G2

If Carta's helped
you grow, scale, or
simplify, share your
experience on G2.



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Meet the speakers

Chelsie Green
Senior Support Agent
3.5 years at Carta

I've worked with founders at every stage—from early stage startups all of the way to Series D companies with hundreds of employees—across all areas of support.

Currently, I help our users make the most out of Carta, grounded in a deep understanding of a the private equity space and it's ever-evolving needs.



WHY IT MATTERS

Corporate Governance

Corporate governance is the system of rules, roles, and processes that guide how a company is directed and controlled.

It ensures that the right people are making the right decisions at the right time.

What Corporate Governance looks like at Carta

Automated Compliance and
Reporting



409a, ASC 718, document
templates, approvals

Streamlined Board
Management



Board templates/consents,
cap table access, document
storage

Enhanced Transparency and
Access



Stakeholder portfolios,
automated transactions, cap
table access

Equity Administration Made
Simple



Exercise requests, tax
calculations, Form 3921, 83(b),
signature collection

Who decides, who acts, who approves

ROLES AND RESPONSIBILITIES

Set permissions for:

- **Board Members** – oversee and approve equity decisions
- **Admins** – take actions like issuing equity, editing the cap table, and inviting stakeholders

[Manage Board Members →](#)

APPROVAL WORKFLOWS

Enable structured, auditable approvals for:

- Security issuances
- Exercise requests
- 409A valuation approvals
- Security acceptance
- Board consents

[Assign Company Contacts and Signatories →](#)

409A VALUATIONS

How Carta Can Help

Carta is the country's leading 409A valuation provider, trusted with thousands of 409A valuations each year

- Automatically flags when your valuation is expired
- Expert 409a valuation support

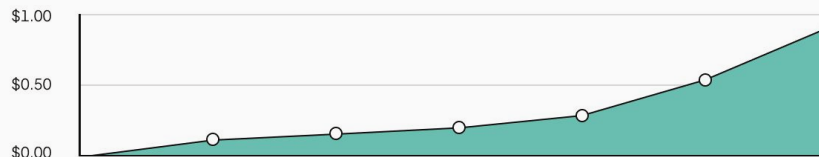
When included in your subscription:

- Valuation refresh to keep you under IRS safe harbor
- Valuations needed as the result of a material event

[Request a 409a →](#)

[409a FAQ →](#)

\$0.97 / share



Fair market value	Source	Status	Effective date	Expiration date
Not set	Carta 409A	Report in progress	06/01/2021	05/31/2022
\$0.97	Carta 409A	Complete	03/01/2021	02/28/2022
\$0.55	Carta 409A	Complete	03/01/2020	02/28/2021
\$0.32	Carta 409A	Complete	03/17/2019	03/16/2020
\$0.20	Carta 409A	Complete	03/17/2018	03/16/2019

MANAGE BOARD

Board Consents and Templates

Board consents aren't just a box to check—they're a **signal to investors** that your company is **organized, trustworthy, and ready to grow**.

- Keeps you compliant when issuing equity or raising funds
- Builds trust with your board and future investors
- Saves time and legal costs during fundraising and audits
- Helps you avoid messy clean-up later

Board Consent Templates

Resolution for option grant approval

SaveSend

B I U ☰ ☷ ☹ ☺ ☻

NOW, THEREFORE, BE IT RESOLVED, that each individual named in Exhibit A attached hereto be, and such individual hereby is, granted an option under the Planb to acquire up to the number of shares of the Company's Common Stock indicated next to such individual's name.

Grants to approve

Grant	Option holder	Options	Vesting
ISO	Ross Jones Senior Director	2000 shares Exercise: \$1,230	1/24, No Cliff Start: 04/10/2012

COMPLIANCE

Form 3921 and Tax Rules

Proactively setting these rules and staying up-to-date with reporting reduces the risk of future reconciliation challenges

83(b) for Early Exercises →

Tax Rule Settings →

Form 3921 →

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Navigate to... 🔍

Actions ▾ Search Filter ▾

Sender	Document
Meetly	Form 3921 Consolidated Statement



☐ CORRECTED (if checked)

TRANSFEROR'S name, street address, city or town, state or province, country, and ZIP or foreign postal code		1 Date option granted	OMB No. 1545-2129
Meetly 870 Market Street San Francisco CA 94117 USA		01/31/2013	Form 3921 (Rev. August 2013)
		2 Date option exercised	
		12/11/2013	
TRANSFEROR'S federal identification number	EMPLOYEE'S identification number	3 Exercise price per share on exercise date	4 Fair market value per share on exercise date
123456789	123-45-6789	\$ 0.17	\$ 0.17
EMPLOYEE'S name		5 No. of shares transferred	
Sandy S. Miller		5000	
Street address (including apt. no.)		6 If other than TRANSFEROR, name, address, and EIN of corporation whose stock is being transferred	
576 Santa Clara St. City or town, state or province, country, and ZIP or foreign postal code			
San Jose CA 95121			
Account number (see instructions)			
908			

Form 3921 (Rev. August 2013) (keep for your records) www.irs.gov/form3921 Department of the Treasury - Internal Revenue Service

Exercise of an Incentive Stock Option Under Section 422(b)

Copy B For Employee

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this item is required to be reported and the IRS determines that it has not been reported.

Meetyly ☆ [Report issue](#)

Overview Holdings **Exercise simulator** Capitalization Communications

Choose a pre-created exercise scenario or create a custom one

Exercise all vested options Customize your exercise

An estimate about your cost and taxes
Fill out below how many options you are planning on exercising and your tax information to see updates on this chart

Show in USD ⓘ

Cost of your option exercise
USD 32,000.00 [View details](#)

Taxes withheld on the day of the exercise ⓘ
USD 0.00

Estimated AMT at the end of the calendar year
USD 280,826.00 [View details](#)

When are you planning on exercising?
Select a date to see all of your vested options.

12/03/2025 ⓘ

Selecting future dates will reflect the amount of vested options at that point in time

How many options do you plan on exercising?
You can input as many options you want, from one or more option grants.

ISO grant ES-18

USD 0.44
exercise price per share

Exercise quantity:
50,000

• Vested: 100,000 • Exercised: 4,000 • Total shares: 100,000

What's your tax situation
Fill out the information below so we can estimate your exercise taxes

Your company's per share value (FMV) ⓘ
\$ 20.13 USD

What is your taxable income?
\$ 280,000 USD

What is your filing status?
Married Filing Separately ⓘ

Your company's current FMV is USD 20.13

STAKEHOLDERS

Cap Table and Portfolio Access

Stakeholders get real-time visibility into their equity positions, vesting schedules, and company information.

[Exercise Simulator →](#)

[Investor Relations →](#)

[Cap table access levels →](#)

[Communications Center →](#)

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