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VENTURE COMPANIES LEARNING LAB

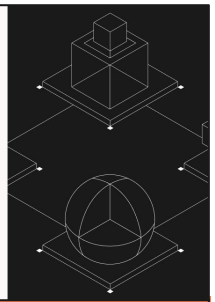
Corporate Governance

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Upcoming Events

Join live sessions with startup experts, attend product education webinars, and connect with peers facing the same challenges as you.

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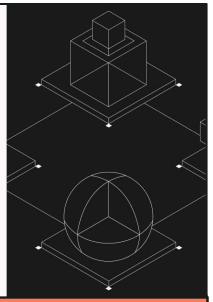
1. Managing the Cap Table

PART 1 OF 4

Thursday, Sep 24
12:30 PM - 01:30 PM PDT

Register →

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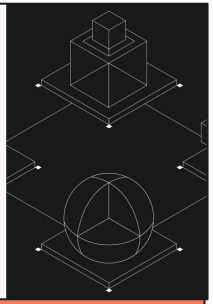
2. Fundraising Tools

PART 2 OF 4

Tuesday, Oct 6
10:30 AM - 11:30 AM PDT

Register →

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3. Preparing to Issue Equity

PART 3 OF 4

Thursday, Oct 22
11:30 AM - 12:30 PM PDT

Register →

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Upcoming Events

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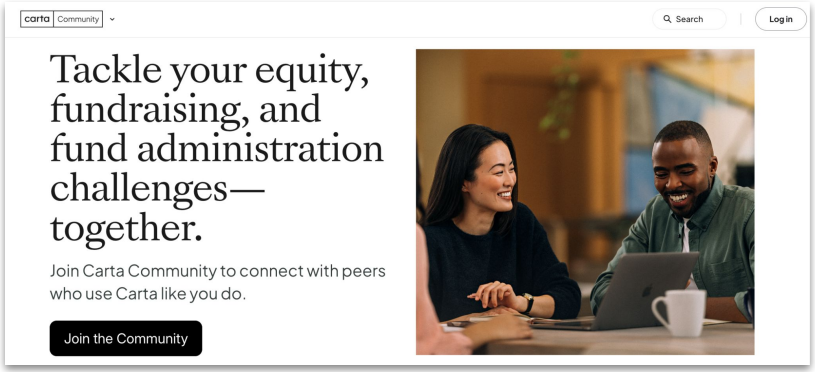
September 29th, 10–11am PT

Register →

Continue the conversation..

Collaborate with peers and industry experts while learning how to make Carta work for you:

- ➢ Learning Courses
- ➢ Customer chats
- ➢ Register for future **Learning Labs**






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Meet the speakers



Brianne Villamor
Customer Enablement Manager
6+ years at Carta



Stewart Barcenas
Channel Support Analyst II
2+ years at Carta

WHY IT MATTERS

Corporate Governance

Corporate governance is the system of rules, roles, and processes that guide how a company is directed and controlled.

It ensures that the right people are making the right decisions at the right time.

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What Corporate Governance looks like at Carta

Automated Compliance and Reporting	➡	409a, ASC 718, document templates, approvals
Streamlined Board Management	➡	Board templates/consents, cap table access, document storage
Enhanced Transparency and Access	➡	Stakeholder portfolios, automated transactions, cap table access
Equity Administration Made Simple	➡	Exercise requests, tax calculations, Form 3921, 83(b), signature collection

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COMPANY SETTINGS

Who decides, who acts, who approves

ROLES AND RESPONSIBILITIES

Set permissions for:

- **Board Members** – oversee and approve equity decisions
- **Admins** – take actions like issuing equity, editing the cap table, and inviting stakeholders

Manage Board Members →

APPROVAL WORKFLOWS

Enable structured, auditable approvals for:

- Security issuances
- Exercise requests
- 409A valuation approvals
- Security acceptance
- Board consents

Assign Company Contacts and Signatories →

409A VALUATIONS

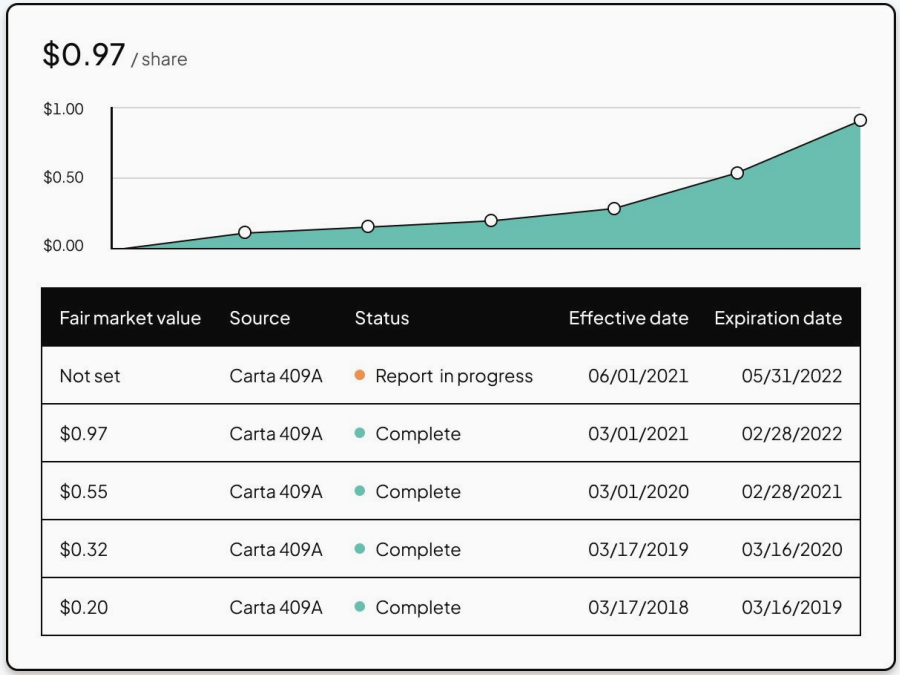
How Carta Can Help

Carta is the country's leading 409A valuation provider, trusted with thousands of 409A valuations each year

- ➔ Automatically flags when your valuation is expired
- ➔ Expert 409a valuation support

When included in your subscription:

- ➔ Valuation refresh to keep you under IRS safe harbor
- ➔ Valuations needed as the result of a material event

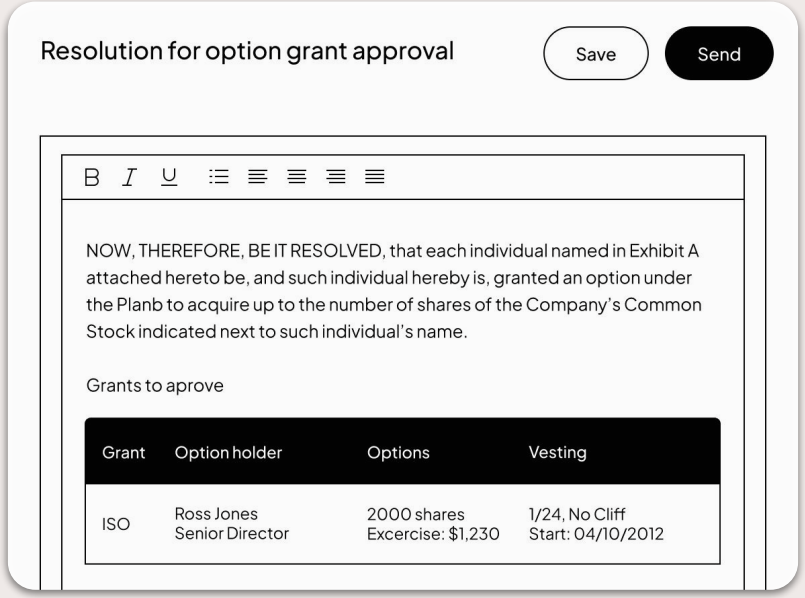


Fair market value	Source	Status	Effective date	Expiration date
Not set	Carta 409A	Report in progress	06/01/2021	05/31/2022
$0.97	Carta 409A	Complete	03/01/2021	02/28/2022
$0.55	Carta 409A	Complete	03/01/2020	02/28/2021
$0.32	Carta 409A	Complete	03/17/2019	03/16/2020
$0.20	Carta 409A	Complete	03/17/2018	03/16/2019

Request a 409a →

409a FAQ →

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MANAGE BOARD

Board Consents and Templates

Board consents aren't just a box to check—they're a **signal to investors** that your company is **organized, trustworthy, and ready to grow.**

- Keeps you compliant when issuing equity or raising funds
- Builds trust with your board and future investors
- Saves time and legal costs during fundraising and audits
- Helps you avoid messy clean-up later

Board Consent Templates

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COMPLIANCE

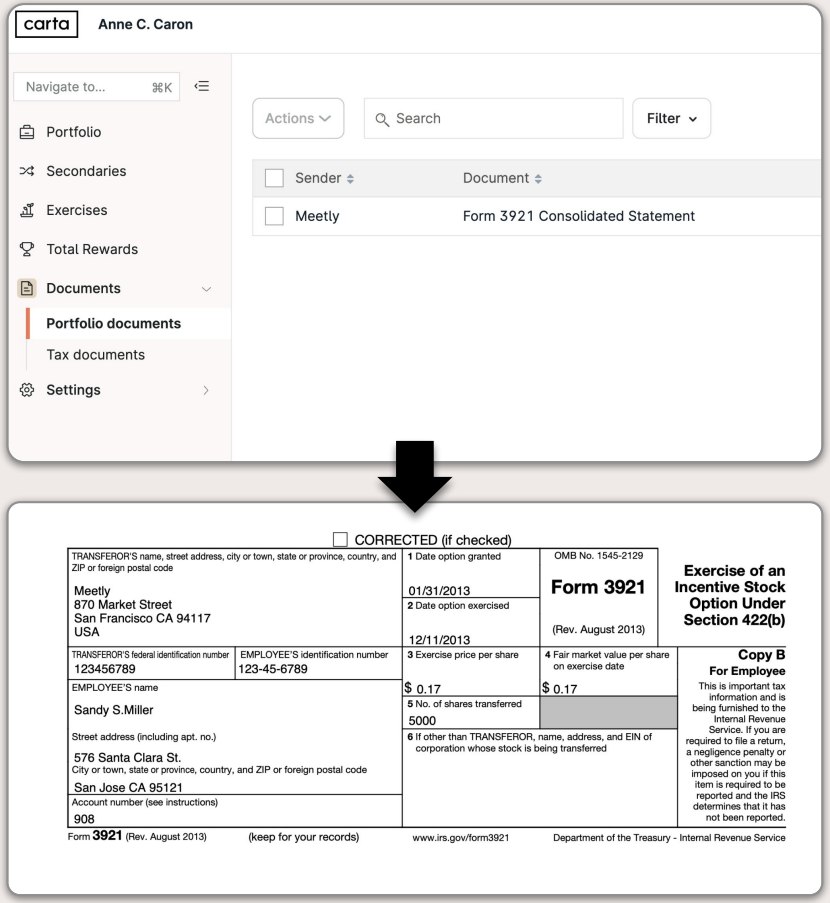
Form 3921 and Tax Rules

Proactively setting these rules and staying up-to-date with reporting reduces the risk of future reconciliation challenges

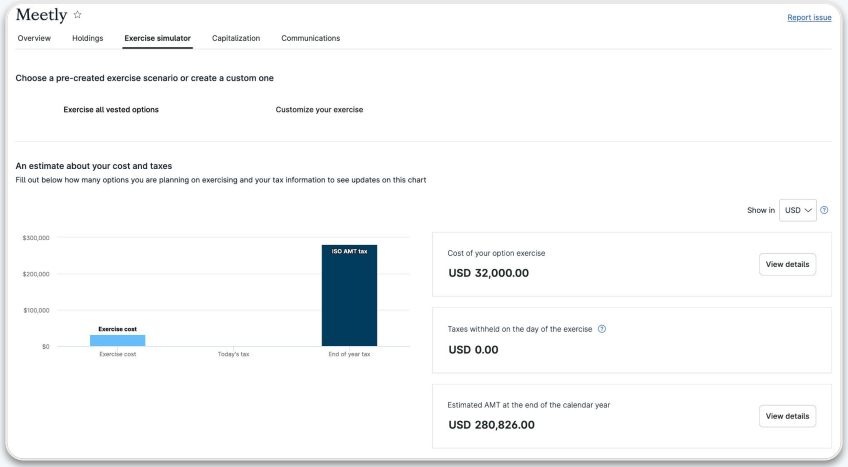
83(b) for Early Exercises →

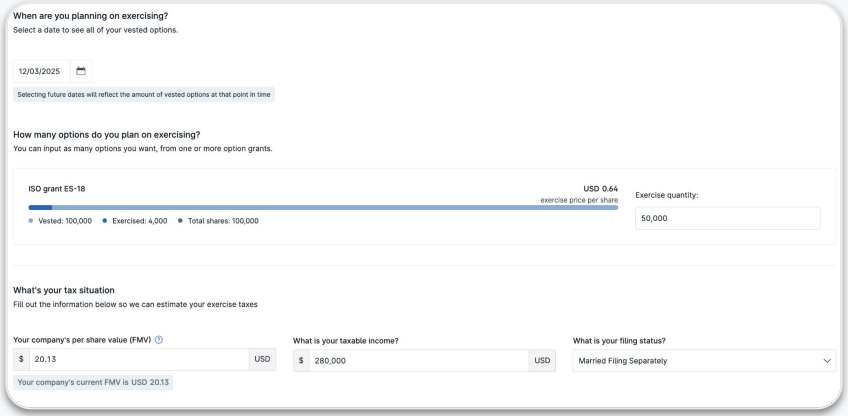
Tax Rule Settings →

Form 3921 →



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STAKEHOLDERS

Cap Table and Portfolio Access

Stakeholders get real-time visibility into their equity positions, vesting schedules, and company information.

- Exercise Simulator →
- Investor Relations →
- Cap table access levels →
- Communications Center →

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Carta Plugin for Claude

Ask your cap table anything.

Query ownership, stakeholders, and equity data in plain language.

ACTION	RESOURCES
1. Install the Carta Connector	Go to Organization settings → Connectors. *Click Add, search for Carta, and click Add*
2. Authenticate Carta	Log in with your Carta account to authorize access
2. Use a prompt	Read others prompts or share your own on Carta Community: *https://community.carta.com/c/ai-at-carta*
4. Save your artifacts	Refresh your artifact anytime for updates


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